10/561772 IAPS Rec'd PCT/PTO 21 DEC 2005

PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

nd to a collection of information unless it contains a valid OMB control number

Sub	Substitute for form 1449A/B/PTO			Complete if Known		
Jul		•		Application Number	Not Yet Assigned	
11	FORMATION	I DI	SCLOSURE	Filing Date	Concurrently Herewith	
S	STATEMENT BY APPLICANT			First Named Inventor	Kiyokazu Yamada	
				Art Unit	N/A	
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	M1071.1951	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.'	Document Number Number-Kind Code ² (If Innown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

		FOREI	GN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°
/RP/	BA	JP-11-84331 A	03-26-1999	Fujitsu, Ltd.		
/RP/	ВВ	JP-8-101363 A	04-16-1996	Hewlett-Packard Co.		$oxed{oxed}$
	BC	JP-64-42710 A	01-17-1989	Furukawa Denki Kogyo		
				Kabushiki-kaisha		
T/RP/	BD	JP-2001-228450 A	08-24-2001	Fujitsu, Ltd.		<u> </u>
	BE	JP-06-067235	03-11-1994	Sanyo Electric Co. Ltd.		1
	BF	JP-11-064809	03-05-1999	Fujitsu Ltd.		1
17	BG	JP-08-0520267	02-20-1996	NEC Corp.		1
	ВН	JP-11-326855	11-26-1999	NEC Corp.		1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \applicants unique citation designation number (optional). \arrangle See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \arrangle Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \arrangle For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \arrangle Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \arrangle Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS					
		Cite No.1				
/RP/		CA	Written Opinion for corresponding international application (with English translation)			
-/ '	 	CA	David A. Smith and John J. Johnson, "Low Drive-Power Integrated Acoustooptic Filter on X-Cut Y-Propagating LiNbO3" (IEEE Photonics Technology Letters, Vol. 3, No. 10, October 1991, Pages 923-925)			
		СВ	T. Hosoi, S. Mizuta and M. Kitamura, "Polarization Independent Tunable Filter Using a Straightly Focused Acoustic Beam on X-Cut Y-Propagating LiNbO3" (Technical Report of The Institute of Electronics, Information and Communication Engineers, OPE97-8 "1997-05" Pages 41-46)			
1	/	СС	M. Seino, "LiNbO3 Acousto-Optic Tunable Filter (AOTF)" (Fujitsu Labs, Ltd., February 2000, Pages 159-164)			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

			
Examiner	/Phondo Posco/	Date	10/22/2007
Signature	/Rhonda Peace/	Considered	10/22/2001

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.